

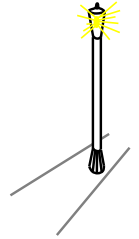
Communication

“Classical” view (pre-1953):
language consists of sentences that are true/false (cf. logic)

“Modern” view (post-1953):
language is a form of action

Wittgenstein (1953) **Philosophical Investigations**
Austin (1962) **How to Do Things with Words**
Searle (1969) **Speech Acts**

Why?



Outline

- ◇ Communication
- ◇ Grammar
- ◇ Syntactic analysis
- ◇ Problems

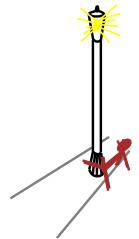
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Communication

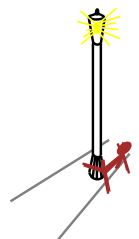
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Why?

To change the actions of other agents



Speech acts

SITUATION

Speaker

 → Utterance → Hearer

Speech acts achieve the speaker's goals:

Inform	"There's a pit in front of you"
Query	"Can you see the gold"
Command	"Pick it up"
Promise	"I'll share the gold with you"
Acknowledge	"OK"

Speech act planning requires knowledge of

- Situation
- Semantic and syntactic conventions
- Hearer's goals, knowledge base, and rationality

Chapter 22 7

Grammar

Vervet monkeys, antelopes etc. use isolated symbols for sentences

⇒ restricted set of communicable propositions, no generative capacity (Chomsky (1957): **Syntactic Structures**)

Grammar specifies the compositional structure of complex messages

e.g., speech (linear), text (linear), music (two-dimensional)

A formal language is a set of strings of terminal symbols

Each string in the language can be analyzed/generated by the grammar

The grammar is a set of **rewrite rules**, e.g.,

$S \rightarrow NP VP$
Article → **the** | **a** | **an** | ...

Here *S* is the **sentence** symbol, *NP* and *VP* are **nonterminals**

Chapter 22 10

Stages in communication (informing)

Intention	S wants to inform H that <i>P</i>
Generation	S selects words <i>W</i> to express <i>P</i>
Synthesis	S utters words <i>W</i>

Perception	H perceives <i>W'</i>
Analysis	H infers possible meanings P_1, \dots, P_n
Disambiguation	H infers intended meaning P_i
Incorporation	H incorporates P_i into KB

How could this go wrong?

Chapter 22 8

Grammar types

Regular: *nonterminal* → **terminal**[*nonterminal*]

$S \rightarrow aS$
 $S \rightarrow \Lambda$

Context-free: *nonterminal* → *anything*

$S \rightarrow aSb$

Context-sensitive: more nonterminals on right-hand side

$ASB \rightarrow AAaBB$

Recursively enumerable: no constraints

Related to Post systems and Kleene systems of rewrite rules

Natural languages probably context-free, parsable in real time!

Chapter 22 11

Stages in communication (informing)

Intention	S wants to inform H that <i>P</i>
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Perception	H perceives <i>W'</i>
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How could this go wrong?

- Insincerity (S doesn't believe *P*)
- Speech wreck ignition failure
- Ambiguous utterance
- Differing understanding of current situation

Chapter 22 9

Wumpus lexicon

Noun → *stench* | *breeze* | *glitter* | *nothing*
 | *wumpus* | *pit* | *pits* | *gold* | *east* | ...

Verb → *is* | *see* | *smell* | *shoot* | *feel* | *stinks*
 | *go* | *grab* | *carry* | *kill* | *turn* | ...

Adjective → *right* | *left* | *east* | *south* | *back* | *smelly* | ...

Adverb → *here* | *there* | *nearby* | *ahead*
 | *right* | *left* | *east* | *south* | *back* | ...

Pronoun → *me* | *you* | *I* | *it* | ...

Name → *John* | *Mary* | *Boston* | *UCB* | *PAJC* | ...

Article → *the* | *a* | *an* | ...

Preposition → *to* | *in* | *on* | *near* | ...

Conjunction → *and* | *or* | *but* | ...

Digit → 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9

Divided into **closed** and **open** classes

Chapter 22 12

Wumpus lexicon

Noun → *stench* | *breeze* | *glitter* | *nothing*
 | *wumpus* | *pit* | *pits* | *gold* | *east* | ...
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 | *right* | *left* | *east* | *south* | *back* | ...
Pronoun → *me* | *you* | *I* | *it* | *S/HE* | *Y'ALL* ...
Name → *John* | *Mary* | *Boston* | *UCB* | *PAJC* | ...
Article → *the* | *a* | *an* | ...
Preposition → *to* | *in* | *on* | *near* | ...
Conjunction → *and* | *or* | *but* | ...
Digit → 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9

Divided into **closed** and **open** classes

Parse trees

Exhibit the grammatical structure of a sentence

I shoot the wumpus

Wumpus grammar

$S \rightarrow NP VP$ I + feel a breeze
 | $S Conjunction S$ I feel a breeze + and + I smell a wumpus

 $NP \rightarrow Pronoun$ I
 | *Noun* pits
 | *Article Noun* the + wumpus
 | *Digit Digit* 3 4
 | $NP PP$ the wumpus + to the east
 | $NP RelClause$ the wumpus + that is smelly

 $VP \rightarrow Verb$ stinks
 | $VP NP$ feel + a breeze
 | $VP Adjective$ is + smelly
 | $VP PP$ turn + to the east
 | $VP Adverb$ go + ahead

 $PP \rightarrow Preposition NP$ to + the east
 $RelClause \rightarrow that VP$ that + is smelly

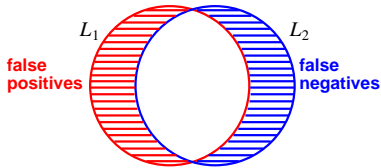
Parse trees

Exhibit the grammatical structure of a sentence

Pronoun Verb Article Noun
 | | | |
 I shoot the wumpus

Grammaticality judgements

Formal language L_1 may differ from natural language L_2



Adjusting L_1 to agree with L_2 is a learning problem!

- * the gold grab the wumpus
- * I smell the wumpus the gold
- I give the wumpus the gold
- * I donate the wumpus the gold

Intersubjective agreement somewhat reliable, independent of semantics!
 Real grammars 10–500 pages, insufficient even for “proper” English

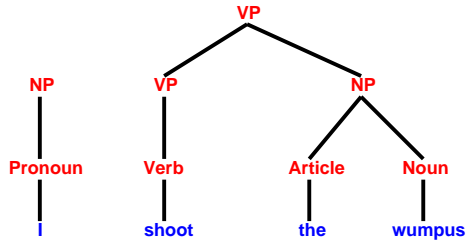
Parse trees

Exhibit the grammatical structure of a sentence

NP VP NP
 | | / \
Pronoun Verb Article Noun
 | | | |
 I shoot the wumpus

Parse trees

Exhibit the grammatical structure of a sentence



Chapter 22 19

Syntax in NLP

Most view syntactic structure as an essential step towards meaning;

“Mary hit John” \neq “John hit Mary”

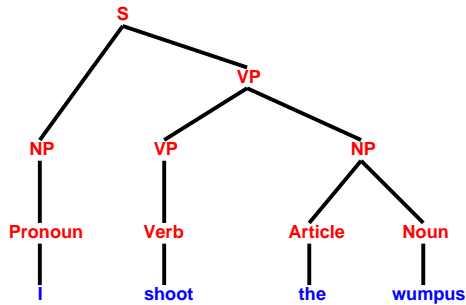
“And since I was not informed—as a matter of fact, since I did not know that there were excess funds until we, ourselves, in that checkup after the whole thing blew up, and that was, if you’ll remember, that was the incident in which the attorney general came to me and told me that he had seen a memo that indicated that there were no more funds.”

“Wouldn’t the sentence ‘I want to put a hyphen between the words Fish and And and And and Chips in my Fish-And-Chips sign’ have been clearer if quotation marks had been placed before Fish, and between Fish and and, and and And, and And and and, and and and And, and And and and, and and and and, and and and and Chips, as well as after Chips?”

Chapter 22 22

Parse trees

Exhibit the grammatical structure of a sentence



Chapter 22 20

Context-free parsing

Bottom-up parsing works by replacing any substring that matches RHS of a rule with the rule’s LHS

Efficient algorithms (e.g., chart parsing, Ch. 23) $O(n^3)$ for context-free, run at several thousand words/sec for real grammars

Context-free parsing \equiv Boolean matrix multiplication (Lee, 2002)
 \Rightarrow unlikely to find faster practical algorithms

Chapter 22 23

Syntax in NLP

Most view syntactic structure as an essential step towards meaning;

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“And since I was not informed—as a matter of fact, since I did not know that there were excess funds until we, ourselves, in that checkup after the whole thing blew up, and that was, if you’ll remember, that was the incident in which the attorney general came to me and told me that he had seen a memo that indicated that there were no more funds.”

Chapter 22 21

Logical grammars

BNF notation for grammars too restrictive:

- difficult to add “side conditions” (number agreement, etc.)
- difficult to connect syntax to semantics

Idea: express grammar rules as logic

$X \rightarrow YZ$ becomes $Y(s_1) \wedge Z(s_2) \Rightarrow X(\text{Append}(s_1, s_2))$

$X \rightarrow \text{word}$ becomes $X(\text{"word"})$

$X \rightarrow Y \mid Z$ becomes $Y(s) \Rightarrow X(s) \quad Z(s) \Rightarrow X(s)$

Here, $X(s)$ means that string s can be interpreted as an X

Chapter 22 24

Logical grammars contd.

Now it's easy to augment the rules

$$NP(s_1) \wedge EatsBreakfast(Ref(s_1)) \wedge VP(s_2) \\ \Rightarrow NP(Append(s_1, ["who"], s_2))$$

$$NP(s_1) \wedge Number(s_1, n) \wedge VP(s_2) \wedge Number(s_2, n) \\ \Rightarrow S(Append(s_1, s_2))$$

Parsing is reduced to logical inference:

ASK($KB, S(["I" "am" "a" "wumpus"])$)

(Can add extra arguments to return the parse structure, semantics)

Generation simply requires a query with uninstantiated variables:

ASK($KB, S(x)$)

If we add arguments to nonterminals to construct sentence semantics, NLP generation can be done from a given logical sentence:

ASK($KB, S(x, At(Robot, [1, 1]))$)

Chapter 22 25

Ambiguity

Squad helps dog bite victim

Helicopter powered by human flies

Real language

Real human languages provide many problems for NLP:

- ◇ ambiguity
- ◇ anaphora
- ◇ indexicality
- ◇ vagueness
- ◇ noncompositionality
- ◇ discourse structure
- ◇ metonymy
- ◇ metaphor

Chapter 22 26

Ambiguity

Squad helps dog bite victim

Helicopter powered by human flies

American pushes bottle up Germans

Chapter 22 28

Ambiguity

Squad helps dog bite victim

Ambiguity

Squad helps dog bite victim

Helicopter powered by human flies

American pushes bottle up Germans

I ate spaghetti with meatballs

Chapter 22 27

Chapter 22 30

Ambiguity

Squad helps dog bite victim
Helicopter powered by human flies
American pushes bottle up Germans
I ate spaghetti with meatballs
 salad

Ambiguity

Squad helps dog bite victim
Helicopter powered by human flies
American pushes bottle up Germans
I ate spaghetti with meatballs
 salad
 abandon
 a fork
 a friend

Ambiguity

Squad helps dog bite victim
Helicopter powered by human flies
American pushes bottle up Germans
I ate spaghetti with meatballs
 salad
 abandon

Ambiguity

Squad helps dog bite victim
Helicopter powered by human flies
American pushes bottle up Germans
I ate spaghetti with meatballs
 salad
 abandon
 a fork
 a friend

Ambiguity can be lexical (polysemy), syntactic, semantic, referential

Ambiguity

Squad helps dog bite victim
Helicopter powered by human flies
American pushes bottle up Germans
I ate spaghetti with meatballs
 salad
 abandon
 a fork

Indexicality

Indexical sentences refer to utterance situation (place, time, S/H, etc.)

I am over here

Why did **you** do **that**?

Anaphora

Using pronouns to refer back to entities already introduced in the text

After Mary proposed to John, **they** found a preacher and got married.

Anaphora

Using pronouns to refer back to entities already introduced in the text

After Mary proposed to John, **they** found a preacher and got married.

For the honeymoon, **they** went to Hawaii

Mary saw a ring through the window and asked John for **it**

Mary threw a rock at the window and broke **it**

Anaphora

Using pronouns to refer back to entities already introduced in the text

After Mary proposed to John, **they** found a preacher and got married.

For the honeymoon, **they** went to Hawaii

Metonymy

Using one noun phrase to stand for another

I've read **Shakespeare**

Chrysler announded record profits

The **ham sandwich** on Table 4 wants another beer

Anaphora

Using pronouns to refer back to entities already introduced in the text

After Mary proposed to John, **they** found a preacher and got married.

For the honeymoon, **they** went to Hawaii

Mary saw a ring through the window and asked John for **it**

Metaphor

"Non-literal" usage of words and phrases, often systematic:

I've tried killing the process but it won't die. Its parent keeps it alive.

Noncompositionality

basketball shoes

Chapter 22 43

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes

Chapter 22 46

Noncompositionality

basketball shoes
baby shoes

Chapter 22 44

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

Chapter 22 47

Noncompositionality

basketball shoes
baby shoes
alligator shoes

Chapter 22 45

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes
red book

Chapter 22 48

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen

Chapter 22 49

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen
red hair
red herring
small moon

Chapter 22 52

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen
red hair

Chapter 22 50

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen
red hair
red herring
small moon
large molecule

Chapter 22 53

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen
red hair
red herring

Chapter 22 51

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen
red hair
red herring
small moon
large molecule
mere child

Chapter 22 54

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen
red hair
red herring

small moon
large molecule
mere child
alleged murderer

Chapter 22 55

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen
red hair
red herring

small moon
large molecule
mere child
alleged murderer
real leather

Chapter 22 56

Noncompositionality

basketball shoes
baby shoes
alligator shoes
designer shoes
brake shoes

red book
red pen
red hair
red herring

small moon
large molecule
mere child
alleged murderer
real leather
artificial grass

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